



Document control

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Privacy contact	Thea.marie@foxplan.nz / 0800 667 873
Published schedule link	<i>To be added still</i>

Use of this schedule

This schedule lists third parties involved in personal information that FoxPlan receives from others (←), sends to others (→), or exchanges both ways (←/→). It is not intended to list software or storage providers that only process information on FoxPlan behalf.

Direction terms used below: Received from means FoxPlan collects information from that party. Sent to means FoxPlan discloses information to that party. Both directions means information may move both ways.



Source schedule

Third party / provider	Information exchanged	Purpose	Law basis	Notice method
Credit reporters Centrix Group Limited; Equifax.	→ Credit enquiry details (name, DOB, address history, enquiry purpose, authority/consent, application details). ← Credit report (repayment history, defaults, court judgments, enquiries, credit score/assessment, other returned credit data.)	Assess credit-related advice, lending suitability, affordability, eligibility, and credit applications.	Usually N/A. Separate credit authority or consent may still be required. Credit Reporting Privacy Code 2020 applies to credit reporters. Credit Contracts and Consumer Finance Act 2003 may apply to the lending engagement.	Engagement letter + this schedule + credit authority/consent where required.
Identity verification and AML/CFT screening providers APLYiD; Cloudcheck/Centrix; Verifi; RealMe; Ministry of Justice, Employment Check NZ	-> Identity/contact details, DOB, address, identity document information/images, verification request details. ←- Verification result, pass/fail, document result, biometric/liveness result if used, PEP/sanctions/watchlist result, risk flags.	Verify identity, reduce fraud risk, meet compliance obligations, and complete onboarding or provider application requirements.	AML/CFT Act 2009 where applicable. Otherwise, N/A unless another specific law applies.	Engagement letter + this schedule; standalone notice if not covered by onboarding documents.
Banks and mainstream lenders on FoxPlan panel ANZ Bank, ASB Bank, BNZ Bank. SBank. Sovereign Home Loans, The Co-operative bank, West Pack. Heartland Bank	-> Application details, identity/contact details, income, expenses, assets, liabilities, bank statements, employment details, security/property details, objectives, signed authorities. ←- Lending decision, pre-approval/approval conditions, rates/terms, repayment information, settlement requirements, loan status, requests for information.	Provide mortgage, lending, refinancing and related advice; submit/manage applications; compare options; implement client instructions; keep advice records.	Usually N/A unless a specific legal or regulatory requirement applies to the application or record.	Engagement letter + this schedule. Use standalone notice for joint applicants, guarantor, or related party who did not onboard with FoxPlan.



FoxPlan Indirect Collection Source Schedule
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Third party / provider	Information exchanged	Purpose	Law basis	Notice method
Non-bank lenders and specialist finance providers Avanti Finance; Pepper Money; Resimac; Basecorp; Liberty	-> Application details, identity/contact details, income/expenses, bank statements, credit authority, property/security details, hardship/specialist lending information, supporting documents. <- Credit assessment, approval/decline, conditions, product terms, pricing, repayment information, settlement requirements, requests for more information.	Assess and arrange specialist lending or non-bank finance options where mainstream lender options are unsuitable or unavailable.	Usually N/A unless a specific legal or regulatory requirement applies.	Engagement letter + this schedule; standalone notice for guarantors, joint applicants, or other non-client parties.
Insurance providers Accuro Health Insurance, AIA NZ, Resolution Life, Astron Life, Chubb Life, Fedility Life, NIB, Partners Life, Southern Cross. AON, GYB, High Street Insurance Brokers.	-> Application details, identity/contact details, occupation, financial information, lifestyle/health/medical information, family or beneficiary details, existing policy details, claims information, signed authorities. <- Underwriting decision, exclusions/loadings, premium terms, policy documents/status, claims information, medical or underwriting requests.	Provide insurance advice, arrange applications, compare cover, complete underwriting, implement instructions, service policies, and assist with claims.	Usually N/A. Health/medical information is sensitive — Health Information Privacy Code 2020 applies. Insurer-specific health and claims consents also required.	Engagement letter + this schedule; standalone notice if the information relates to a person not covered by onboarding documents.
KiwiSaver scheme and managed investment providers ANZ Investments, Booster, Fisher Funds, Kiwiwrap Generate, Milford, Kernel Wealth, Evidential	Identity/contact details, IRD number if required, PIR/tax information, risk profile, investment objectives, application/switch/transfer/contribution instructions, signed authorities. Account/member details, balances, contribution/transaction history, holdings, performance, fees, tax/PIE information, transfer status, provider correspondence.	Provide KiwiSaver scheme or investment advice, assess suitability, implement transfers/switches/applications, complete reviews, maintain records.	Usually N/A unless a specific legal requirement applies. KiwiSaver Act 2006 and Financial Markets Conduct Act 2013 may be relevant in specific circumstances.	Engagement letter + this schedule. Confirm adviser licensing and TAP license uploads for KiwiSaver advice activity.
Investment platforms ANZ NZ Investments: Consilium, Synergy Investments, i-Select, Evidential	Identity/contact details, investment profile, application details, tax information if required, transaction/portfolio instructions, signed authorities. Account opening status, portfolio holdings, transactions, valuations, fees, distributions, tax reports, compliance requests, provider correspondence.	Set up, administer, review, and service investment arrangements and maintain advice records.	Usually N/A unless a specific law applies to the service or provider requirement.	Engagement letter + this schedule; standalone notice if a related person is not covered by onboarding documents.



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Strategi Compliance Limited	Client file information, advice records, application information, disclosure records, complaints information, compliance records, adviser notes and supporting documents where required for compliance review, audit, training, monitoring or regulatory support. Usually, no client information is collected from Strategi, except feedback, review comments, compliance findings or recommendations relating to the file.	To obtain compliance support, file review, policy review, audit assistance, training, monitoring, governance support and regulatory compliance advice	Not applicable, unless required for a specific regulatory or audit purpose.	Engagement letter + schedule, privacy policy, or standalone notice if the person was not covered by the onboarding documents.
Referrers and introducers accountants, lawyers, mortgage advisers, real estate agents, professional referral partners, existing clients, family members, business contacts	← Name, contact details, relationship/context, limited information about the reason for referral and service of interest. No personal information is sent back to the referrer. If return disclosure is ever required, written authorisation from the individual must be obtained first.	Make contact first, understand the reason for the introduction, and decide whether FoxPlan can assist.	N/A unless a specific law applies in the relevant matter.	Referring to first-contact notice or standalone notice unless evidence shows the person was already made aware.
Employers, payroll providers, business clients, or entities connected to the client Insert actual names where routinely used.	Employment status, income, payroll records, benefits, group scheme information, business ownership details, contact details, and records needed for advice/implementation. Advice or confirmations returned to employer/business should be limited to what is authorised and necessary.	Verify income/employment, assess eligibility, provide business or group-related services, and implement client instructions.	It depends on context. Usually N/A unless employment, tax, AML/CFT or regulatory obligation applies.	Standalone notice unless the individual was directed to this schedule or the employer's notice clearly identified FoxPlan and covered the collection.
Government agencies, public registers, or official sources Examples: Inland Revenue; Companies Office; LINZ; NZTA; Department of Internal Affairs; electoral roll	Identity, tax/IRD information, company/directorship information, property/title information, vehicle/asset records, residency/citizenship verification, public register information, compliance confirmations. Information sent is limited to the request/verification need.	Verify identity, tax, ownership, asset, company, compliance or other information relevant to the advice or service.	Name the specific law where collection is authorised or required by law. Otherwise, N/A or public register/authority basis as applicable.	Engagement letter + this schedule; standalone notice if the person is not otherwise covered.



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Third party / provider	Information exchanged	Purpose	Law basis	Notice method
Counterparties and related parties solicitors, accountants, trustees, attorneys, executors, family members, guarantors, joint applicants, related entities	Identity details, authority/relationship details, financial information, property/security information, trust/company/estate information, instructions, guarantees, declarations, and other matter information.	Complete advice, applications, implementation, verification, related services involving more than one party or a related entity.	It depends on context. Usually N/A unless a specific law applies.	Standalone notice unless each person signed/accepted onboarding documents that direct them to this schedule.

Usually excluded from this IPP3A schedule

Systems that only store or process information on FoxPlan behalf should usually be managed through privacy policy wording, contracts and service provider controls, rather than listed as indirect collection sources. Examples include TAP or other CRM systems, SharePoint, cloud storage, email hosting, e-signature tools, document storage, IT support and payroll software. If any provider uses or discloses information for its own purposes, review that arrangement separately.